

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/724,173 Confirmation No.: 9380  
Applicant : Christian HAMON  
Filed : December 1, 2003  
Title : CATALYST BASED ON FERRIERITE/IRON FOR CATALYTIC  
REDUCTION OF NITROUS OXIDE CONTENT IN GASES,  
METHOD FOR OBTAINING SAME AND APPLICATION  
TC/Art Unit : 1754  
Examiner: : Edward M. JOHNSON  
Docket No. : 67493.000005  
Customer No. : 21967

MAIL STOP AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Declaration under 37 C.F.R. § 1.132

Sir:

I, Mr. Christian Hamon, do hereby state, that:

1. I reside at 41, chemin de Porcé – 44600 Saint-Nazaire - FRANCE
2. I received a degree of doctor in Inorganic Chemistry (1973) from Rennes I University and a Cinetic and Catalysis DEA degree in 1975 from ESCIL (Lyon – France).
3. I am currently the head of the Regional Institute for Advanced Materials (IRMA), a position which I have held since 1990.

4. I have worked in the catalyst field for over 30 years.
5. Further details of my professional experience can be found on my resume, which is attached hereto as Appendix A.
6. I am the inventor of the subject matter of the above-captioned patent application, which relates to catalysts for conversion of  $N_2O$  and methods of using such catalysts. See, e.g., Specification, ¶ [002].
7. The claimed catalyst comprises ferrierite/iron assaying from 1 to 6% iron by weight in ion exchange position, with a potassium ion content in exchange position from 0.1-0.5% by weight. See claim 1 and Specification ¶¶ [011]-[013].
8. I have read and understand the specification of the above-captioned application ("specification") and claims of the above-captioned application ("claims"), as well as the references cited in the Office Action dated August 8, 2006 regarding the above-captioned application. Specifically, the references cited are: U.S. Patent No. 4,002,575 ("Ward"), and U.S. Patent No. 5,589,147 ("Farnos").
9. The cited references do not teach or suggest the catalysts that are claimed in the above-captioned patent application. Although Ward and Farnos disclose particular catalysts in their respective examples, none of the specifically disclosed catalysts in either Ward or Farnos are ferrierite/iron catalysts.

A person of ordinary skill in the art would therefore have had no basis to expect that the enhanced selective conversion of  $N_2O$  could be attained by making catalysts using the materials listed in Ward and Farnos. Based on the foregoing, the claimed catalyst compositions exhibit unexpectedly superior catalytic activity that would have gone unappreciated by a person of ordinary skill in the art having no reference to the specification.

13. As shown in Example 5 of the specification, the claimed catalyst compositions show an unexpectedly higher activity for the conversion of  $N_2O$  in a gas mixture having a high  $N_2O$  concentration than the mordenite/iron combination, particularly at higher temperatures and in the presence of minor concentrations of  $NO_x$  (NO). Specification, ¶¶ [048]-[049]. Neither Ward nor Farnos teach or suggest that the claimed catalyst compositions have higher activity for the conversion of  $N_2O$  in a gas mixture having a high  $N_2O$  concentration. Thus, the claimed catalyst compositions have unexpected properties which a person with ordinary skill in the art would not recognize in view of either Ward or Farnos.


14. As shown in Example 6 of the specification, the claimed catalyst compositions demonstrate higher hydrothermal stability than the mordenite/iron catalyst at high temperature and in the presence of water vapor. Specification, ¶¶ [050]-[053]. The cited references do not teach or suggest that the claimed catalyst compositions have higher hydrothermal stability. Thus, the specific catalyst compositions claimed have unexpected catalytic activity which a person with ordinary skill in the art would not recognize in view of either Ward or Farnos.

15. Finally, even if a person of ordinary skill in the art selected ferrierite, iron, and potassium to make a catalyst, such a catalyst would not necessarily meet the claim limitations. This is because the iron and potassium in the claimed catalyst position must be in ion exchange position, which is not necessarily the case when the three components are simply blended together.

16. Based on the foregoing discussion, the examples in the specification individually and collectively demonstrate that the claimed catalysts have unexpectedly superior properties for selective reduction of  $N_2O$  relative to the large number of compounds that could possibly be constructed using the lists of catalyst components disclosed in Ward or Farnos.

17. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed

  
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Christian Hamon

Place Ploemeur, France

Date November 6<sup>th</sup>, 2006

**Christian HAMON**

**RESUME**

**Appendix A**

**Type of degree** : PHD in Inorganic Chemistry (1973) from Rennes I University (France) and a Cinetic and Catalysis DEA degree in 1975 from ESCIL Lyon (France).

**Professional resume** :

- R & D engineer at Grande Paroisse SA (1975)
- Responsible of the R&D department at Grande Paroisse SA (1985)
- IRMA manager since 1990

**References - Experience** :

- Holder of about 15 patents.
- At IRMA :
  - . Pig manure processing : development of the SMELOX process (IFP licence) in partnership with IFP.
  - . Organic waste thermolysis process : pilot experimentations with an indirect heating rotary furnace for IFP.
  - . N<sub>2</sub>O catalytic decomposition (greenhouse gas) : patents and one industrial reference (collaboration with Grande Paroisse SA)
  - . NOx catalytic reduction by NH<sub>3</sub> : 4 industrial references.
  - . VOCs catalytic oxidation : technologies development – one industrial reference.
- At Grande Paroisse (main references) :
  - . Methanol transformation in light olefins (C<sub>2</sub>-C<sub>3</sub>) : relative patent transferred to IFP.
  - . NOx catalytic reduction process by NH<sub>3</sub> applied to nitric acid tail gas : several patents (catalysts + process). More than 20 industrial references.
  - . Responsible of ZEOCAT Company establishment (subsidiary company of Grande Paroisse SA and IFP) : modified zeolites as the base of refinery industry catalysts.

### Experience about hydrogen production

- R&D engineer at Grande Paroisse SA. Hydrogen production for  $\text{NH}_3$  synthesis. Expertise on the different catalytic steps : calculation, simulation, process optimisation : desulphurization, steam reforming, oxygen cracking (ATR), high and low temperature shift.

New catalysts development. Pilot scale development on real gases.

Catalyst industrial manufacturing : "Cracking", "Shift" and Ammonia synthesis.

- 2000 : expertise in California - USA (Long Beach) on an hydrogen production unit (about 100 kW power) including some disfunctioning.

Objective of this unit : pure  $\text{H}_2$  (PSA at the end). Then compression and utilization (bottles) for PEM applied to bus.

This expertise has been required by a French industrial concerned by this unit. It has been realized in partnership with Paul Gateau (Loire 2IS). We have developed at IRMA a pilot unit for studying a catalytic stage (15 bar, 200  $\text{cm}^3$  of catalyst). This study allowed us to give them a reliable explanation.

- Development of a natural gas catalytic reformer for hydrogen production and coupling with a PEM fuel cell. Works realized from 2003 to 2006 in partnership with HELION and GAZ DE FRANCE. Results presentation at the WHEC at Lyon in June 2006.